

QFC Activities Summary: 1-Apr-09 to 30-Jun-09

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
REGULATIONS				
RULES				
CONSULTATION PAPERS				
QFC Regulatory Authority	CP Number 2009/01 Proposed Amendments to the Use of Parent Company Guarantees in Relation to Concentration Risk Limits	17-Jun-09		
QFC Regulatory Authority	CP Number 2009/02 Financial Services (Financial Penalties and Public Censures) Policy 2009.	18-Jun-09		
GUIDES				
NOTICES				
REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Authority	Moore Stephens Services (Qatar) LLC			05-Apr-09
QFC Authority	Bloomberg L.P. - QFC Branch			30-Apr-09
QFC Regulatory Authority	Nomura International plc, Qatar Financial Centre Branch			28-May-09
QFC Authority	QInvest Partners LLC			14-Jun-09

QFC Activities Summary: 1-Apr-09 to 30-Jun-09

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
WAIVER OR MODIFICATION NOTICES				
QFC Regulatory Authority	Waiver W 0012/09 TAIB Bank Qatar LLC		9-Apr-09	9-Apr-09
QFC Regulatory Authority	Waiver W 0013/09 TAIB Bank Qatar LLC		9-Apr-09	9-Apr-09
QFC Authority	Waiver QInvest LLC		20-Apr-09	20-Apr-09
QFC Authority	Waiver Salans LLP		29-Apr-09	29-Apr-09
QFC Regulatory Authority	Waiver W 0014/09 Aon Qatar LLC		14-May-09	14-May-09
QFC Regulatory Authority	Waiver W 0015/09 Coutts & Co		24-May-09	29-May-09
QFC Regulatory Authority	Waiver W 0016/09 Beltone Financial Qatar LLC		24-May-09	01-Jun-09
QFC Regulatory Authority	Waiver W 0017/09 Nomura International plc, Qatar Financial Centre Branch		28-May-09	28-May-09
QFC Regulatory Authority	Waiver W 0018/09 Nomura International plc, Qatar Financial Centre Branch		28-May-09	28-May-09
QFC Authority	Waiver Region Holdings LLC		10-Jun-09	10-Jun-09
QFC Authority	Waiver Corecap Merchant Bank LLC		10-Jun-09	10-Jun-09
QFC Authority	Waiver QInvest Partners LLC		11-Jun-09	11-Jun-09
QFC Regulatory Authority	Waiver W 0019/09 QInvest Partners LLC		14-Jun-09	14-Jun-09
QFC Regulatory Authority	Waiver W 0020/09 Nasco Karaoglan Qatar LLC		21-Jun-09	21-Jun-09
QFC Regulatory Authority	Waiver W 0021/09 Samba Financial Group		21-Jun-09	21-Jun-09
QFC Regulatory Authority	Waiver W 0022/09 Mitsui Sumitomo Insurance Company (Europe) Limited		23-Jun-09	23-Jun-09